

**National Conference on Interstate  
Milk Shipments**

**NCIMS HACCP SYSTEM REGULATORY AGENCY REVIEW REPORT**  
(To be included with all NCIMS HACCP Listings and FDA Audits)

REGULATORY AGENCY

DATE OF EVALUATION

FIRM NAME

LICENSE/PERMIT NO.

IMS PLANT NO.

ADDRESS

**EXPLANATION OF CONCERNS NOTED REGARDING REGULATORY AGENCY  
OBLIGATIONS UNDER THE NCIMS HACCP SYSTEM**  
(Use additional sheets if necessary.)

A narrative description shall be provided as a part of all NCIMS HACCP Listings and FDA Audits, including aseptic and/or retort milk plants with NCIMS HACCP Listings. This report shall include an evaluation of the following requirements:

1. Milk plant, receiving station or transfer station holds a valid permit.
2. Milk plant, receiving station or transfer station audited by a HACCP trained Regulatory Agency auditor at the minimum required frequency and follow-ups conducted as required.
3. Requirements interpreted in accordance with the Grade "A" PMO as indicated by past audits.
4. Pasteurization equipment tested at required frequency. (Not applicable to receiving stations, transfer stations, aseptic milk plants and retort milk plants.)
5. Individual and cooling water samples tested and reports on file as required.
6. Samples of milk plant's milk and/or milk products collected at the required frequency and all necessary laboratory examinations made. (Not applicable to receiving and transfer stations.)
7. Sampling procedures approved by PHS/FDA evaluation methods.
8. Permit issuance, suspension, revocation, reinstatement, hearings, and/or court actions taken as required.
9. Records systematically maintained and current.